

## **GLOSSARY**

AAT Administrative Appeals Tribunal

**ADR** Alternative dispute resolution

Affirm If the Tribunal affirms a decision under review, the original decision

stands (is unchanged).

Alternative

A process for resolving a dispute, other than at a hearing. The Tribunal dispute resolution employs five processes: conference, conciliation, mediation, case

appraisal and neutral evaluation.

**Applicant** The person, organisation, department or agency that has lodged an

application with the Tribunal.

Application for extension of time

An application for review of a decision must be lodged with the Tribunal within a certain time limit. However, an application may be made to the Tribunal to extend the time for lodging an application.

APS Australian Public Service

Case appraisal An alternative dispute resolution process conducted by a Tribunal

> member or other person, chosen on the basis of their knowledge of the subject matter, who assists the parties to resolve the dispute by providing a non-binding opinion on the facts in dispute and likely

outcomes.

COAT Council of Australasian Tribunals

Conciliation An alternative dispute resolution process in which a Tribunal member

> or Conference Registrar assists the parties to identify the issues in dispute and endeavour to reach an agreement. The conciliator has no determinative role but may advise on or determine the conciliation process, make suggestions on terms of settlement and actively

encourage the parties to reach an agreement.

Conference A meeting conducted by a Tribunal member or Conference Registrar

> with the parties and/or their representatives. Conferences provide an opportunity to discuss and define the issues in dispute, identify further evidence that may be gathered, explore whether the matter can be

settled and discuss the future conduct of the matter.

Confidentiality

The Tribunal may make an order directing that a hearing or part of order

a hearing be held in private. The Tribunal may also give directions prohibiting or restricting the publication of the names of a party or witnesses, evidence given before the Tribunal or matters contained

in documents lodged with the Tribunal.

**CSS** Commonwealth Superannuation Scheme Directions hearings

A hearing to deal only with procedural matters such as the exchange of statements or documents or to clarify issues relating to the conduct of a hearing. They may also be held to progress a matter in which there has been delay by a party. Directions hearings are conducted

by Tribunal members.

Dismissal of application

The Tribunal may, in certain circumstances, dismiss an application without proceeding to review the decision. An application may be dismissed, for example, at the request of the parties, if the applicant fails to appear at an ADR process, directions hearing or hearing, or if the Tribunal is satisfied that the application is frivolous or vexatious.

FMO Finance Minister's Order

GST Goods and Services Tax

Hearing A hearing is the occasion at which the parties may present to the

Tribunal evidence and submissions in relation to the decision under review. Parties may call witnesses to give evidence. A hearing is

conducted by one, two or three Tribunal members.

IASAJ International Association of Supreme Administrative Jurisdictions

Interlocutory application

Any application made by a party that relates to an application for review of a decision, including an application for an extension of time to lodge an application, to stay the operation of the decision under

review or for a confidentiality order.

KPI Key Performance Indicators

Mediation An alternative dispute resolution process during which a Tribunal

member or Conference Registrar assists the parties to identify the issues in dispute, develop options, consider alternatives and endeavour to reach an agreement. The mediator has no advisory or determinative role in relation to the content of the dispute but may

advise on or determine the mediation process.

MRT Migration Review Tribunal

NAATI National Accreditation Authority for Translators and Interpreters

Neutral evaluation An alternative dispute resolution process in which a Tribunal member

or other person, chosen on the basis of their knowledge of the subject matter, assists the parties to resolve the dispute by evaluating the facts and law at issue in the dispute and providing a non-binding

opinion on the likely outcomes.

OPA Official Public Account

Outreach A Tribunal program that provides self-represented parties with

information about Tribunal practices and procedures and other

assistance in relation to the review process.

Party A party is a participant in the proceedings before the Tribunal. A party

can be the person who makes the application to the Tribunal, the decision maker or other respondent to the application and any other

person joined to the proceedings.

Party joined A person, department or agency whose interests are affected by

a decision under review may be made a party to the proceeding by order of the Tribunal. This person, department, or agency is

a party joined.

Portfolio Budget

Statements

Statements prepared to explain the Budget appropriations for agencies within a portfolio in terms of outcomes and programs.

PSS Public Sector Superannuation Scheme

PSSap Public Sector Superannuation accumulation plan

RRT Refugee Review Tribunal

Remit The Tribunal may set aside a decision and remit it (send it back) to the

original decision maker to be reconsidered in accordance with any

directions or recommendations of the Tribunal.

Respondent The respondent is the party who responds to or answers an

application; usually the department, agency or organisation that made

the original decision.

Section 37

**Documents** 

These are the statement and documents that a decision maker must provide to the Tribunal and the other party under section 37 of *Administrative Appeals Tribunal Act 1975*. They are also known as the 'T Documents' and include the reasons for the decision under review

and all other relevant documents.

Set aside The Tribunal may set aside a decision under review. The effect is that

the Tribunal disagrees with the original decision and may make a new decision or remit the matter (send it back) to the original decision-

maker.

SSAT Social Security Appeals Tribunal

Stay order A stay order is an order of the Tribunal to suspend the operation

or implementation of the decision under review until the matter is

determined or resolved.

Summons A summons is a notice issued by the Tribunal calling a person to

appear before it to give evidence or to produce documents to it.

T-Documents see 'Section 37 Documents'.

TRACS The Tribunal's electronic case management system.

Vary The Tribunal may vary a decision under review. This means that the

Tribunal changes or alters the original decision.

VRB Veterans' Review Board

# LIST OF REQUIREMENTS

**DESCRIPTION** PAGE Letter of transmittal iii Table of contents Index 211 Glossarv 204 Contact officer(s) ii Internet home page address and Internet address for report ii Review by Agency Head 2 Review by the President Review by the Registrar 5 Summary of significant issues and developments\* 7-8 Overview of performance and financial results\* 7 2-6 Outlook for the following year\* Significant issues and developments - portfolio\* Not applicable **Agency Overview** Role and functions 10 Organisational structure 11-15 26 Outcome and program structure Where outcome and program structures differ from Portfolio Budget Statements/ Not applicable Portfolio Additional Estimates Statements or other portfolio statements accompanying any other additional appropriation bills, details of variation and reasons for change Report on Performance Review of performance during the year in relation to programs and contribution to 22 - 30outcome Actual performance in relation to deliverables and KPIs set out in Portfolio 26-30 Budget Statements/Portfolio Additional Estimates Statements or other portfolio Where performance targets differ from the Portfolio Budget Statements/Portfolio Not applicable Additional Estimates Statements, details of both former and new targets, and reasons for the change

DESCRIPTION	PAGE
Narrative discussion and analysis of performance	22–30
Trend information	22–30
Significant changes in nature of principal functions/services*	Not applicable
Performance of purchaser/provider arrangements*	Not applicable
Factors, events or trends influencing agency performance*	22–30
Contribution of risk management in achieving objectives*	51–53
Social inclusion outcomes	Not applicable
Performance against service charter customer service standards, complaints data and the agency's response to complaints	32–34
Discussion and analysis of the agency's financial performance	50
Discussion of any significant changes from the prior year, from budget or anticipated to have a significant impact on future operations	Not applicable
Agency resource statement and summary resource tables by outcomes	178–179
Management and Accountability	
Corporate Governance	
Agency heads are required to certify that their agencies comply with the Commonwealth Fraud Control Guidelines	52
Statement of the main corporate governance practices in place	11–16, 50–53
Names of the senior executive and their responsibilities*	11–16
Senior management committees and their roles*	16, 51–52
Corporate and operational planning and associated performance reporting and review*	7–8, 50
Approach adopted to identifying areas of significant financial or operational risk*	51-53
Policy and practices on the establishment and maintenance of appropriate ethical standards*	53
How nature and amount of remuneration for Senior Executive Service officers is determined*	55
External Scrutiny	
Significant developments in external scrutiny	Not applicable
Judicial decisions and decisions of administrative tribunals	30
Reports by the Auditor-General, a Parliamentary Committee or the Commonwealth Ombudsman	31

DESCRIPTION	PAGE
Management of Human Resources	
Assessment of effectiveness in managing and developing human resources to achieve agency objectives	54–60
Workforce planning, staff turnover and retention*	54
Impact and features of enterprise or collective agreements, individual flexibility arrangements (IFAs), determinations, common law contracts and Australian Workplace Agreements (AWAs)*	54–56
Training and development undertaken and its impact*	56-58
Work health and safety performance*	58-59
Productivity gains*	60
Statistics on staffing	148–149
Enterprise or collective agreements, IFAs, determinations, common law contracts and AWAs	54–55, 149
Performance pay	55
Assets Management	
Assessment of effectiveness of assets management	Not applicable
Purchasing	
Assessment of purchasing against core policies and principles	50-51
Consultants	
The annual report must include a summary statement detailing the number of new consultancy services contracts let during the year, total actual expenditure on all new consultancy contracts let during the year (inclusive of GST); the number of ongoing consultancy contracts that were active in the reporting year; and the total actual expenditure in the reporting year on the ongoing consultancy contracts (inclusive of GST). The annual report must include a statement noting that information on contracts and consultancies is available through the AusTender website.	51
Australian National Audit Office Access Clauses	
Absence of provisions in contracts allowing access by the Auditor-General	51
Exempt Contracts	
Contracts exempt from the AusTender	51
	67–112

DESCRIPTION	PAGE
Other Mandatory Information	
Work health and safety (Schedule 2, Part 4 of the Work Health and Safety Act 2011)	58-59
Advertising and Market Research (Section 311A of the Commonwealth Electoral Act 1918) and statement on advertising campaigns	200
Ecologically sustainable development and environmental performance (Section 516A of the <i>Environment Protection and Biodiversity Conservation Act 1999</i> )	200–201
Compliance with the agency's obligations under the Carer Recognition Act 2010	Not applicable
Grant programs	201
Disability reporting – explicit and transparent reference to agency-level information available through other reporting mechanisms	202
Information Publication Scheme statement	31
Correction of material errors in previous annual report	31, 200
List of Requirements	207–210

 $<sup>^{\</sup>star}$  Items marked with an asterisk are suggested rather than mandatory items for inclusion in the annual report.

## **INDEX**

A	veterans' affairs, 24, 164
AAT Alerts, see communication	workers' compensation, 25, 164
accessibility of Tribunal, 18-19, 33-34	Auditor-General, reports by, 31
interpreter services, 19, 33	Australian Workplace Agreements (AWAs),
Outreach program, 18–19, 34	55, 165
persons with disabilities, 19, 33	
Administrative Appeals Tribunal	В
committees, see committees	Benevolent Trust, 60
divisions, 11, 114-117	Deflevoient frast, 66
establishment, 10	
functions and powers, 10	C
members, see Members	case appraisal, 17, 18, 40, 204
organisational structure, 11-15	number of, 174
relationships, 43–47	case management, see also practice and
strategic plan, 3, 5–6, 38, 43, 50, 60	procedure,
Administrative Appeals Tribunal Act 1975,	case management process, 17-18
amendments to, 39	committees, 16
Administrative Appeals Tribunal	Alternative Dispute Resolution Committee,
Regulations 1976,	16, 40
amendments to, 39	Audit Committee, 51–52
Administrative Review Council, 44	Diversity Committee, 59
advertising, 200	Executive Committee, 16
Agency Agreement, 8, 53, 54–55, 60, 149	Executive Deputy Presidents Committee, 16
alternative dispute resolution, 3, 6, 13, 14,	Health and Safety Committee, 59
17, 26, 40, 204	Library Committee, 16, 62
number of processes, 174 see also committees, Alternative Dispute	Practice and Procedure Committee, 16,
Resolution Committee	38
appeals against decisions of Tribunal, 30,	Professional Development Committee,
175–177	16, 56
applications,	Commonwealth Ombudsman, 31
management of, 17	communication, 61
outcomes of applications finalised,	AAT Alerts, 3, 7, 41
172–173	consultation and liaison, 39, 41, 43-45
portfolio, lodged and finalised by,	information about the Tribunal, 18
166–168	media, 61
social security, 23–24, 163	website, 61, 62
taxation, 25–26, 165	complaints 19, 31–33
timeliness, 26-29	Commonwealth Ombudsman, to, 31
	Tribunal, to, 32–33

conciliation, 17, 18, 40, 204
number of, 174
concurrent evidence,
guidelines, 6, 7, 17, 38
Conference Registrars, 3, 6, 13, 15, 40, 56–57
conferences (ADR), 13, 14, 17, 18, 26, 40, 204,
number of, 174
timeliness of first, 27–28, 34
consultancies, 51
Council of Australasian Tribunals, 44

#### D

decisions timeliness of delivery, 28, 29, 34 Deputy Presidents, 11, 12 additional functions, 34 Executive Deputy Presidents, 6, 14 disability reporting, 202 District Registrars, 3, 13, 15, 57

#### E

electronic service delivery, 40–41 environmental performance, 200–201 equal employment opportunity statistics, 148 external scrutiny of Tribunal, 30–31 expert evidence, 29 guidelines, 6, 7, 17, 38

#### F

Family Court of Australia, 12, 53

Federal Court of Australia, 12, 13, 45, 53
appeals to, 30, 175–177

Federal Magistrates Court, 30
fees, 180–182
financial management, 50
fraud control, 8, 51–52
freedom of information, 31
applications relating to, 169
Information Publication Scheme, 31
requests to the Tribunal, 31

#### G

guides, see practice and procedure guidelines concurrent evidence, 6, 7, 17, 38 constituting the Tribunal, 7, 17, 38–39 expert and opinion evidence, 7, 17, 38 mediator accreditation, 3, 7, 40 oaths and affirmations, 39 referral to alternative dispute resolution, 17, 40

#### Н

hearings, 3, 14, 17, 18, 26, 205 constitution of tribunals for, 175 number of, 174 timeliness of, 27–28 human resource management, 54–60

information technology, see technology services international relationships, 46 delegations, 46 International Association of Supreme Administrative Jurisdictions, 46 interpreter services, 19, 33

#### J

judicial review of decisions, 30, 175–177 jurisdiction Administrative Appeals Tribunal, of, 11, 150–161 changes to, 5, 7, 39, 150–161

#### L

learning and development, 3, 6, 56–58 legal advice schemes, 19 legal and policy services, 61–62 library and information services, 62

#### President, 11, 12 M additional functions, 34 market research, 200 overview by, 2-4 mediation, 17, 18, 40, 205 profile of, 118 accreditation of mediators, 3, 7, 40 presidential members, 11, 12 number of, 174 additional functions, 34-35 Members, 11, 12, 114-116 Principal Registry, 13 additional functions, 34-35 restructure, 6, 8 appointment of, 4, 11 staff, 148 changes to membership, 4, 117 proceeds of crime examinations, 35 professional development, 3, 6, 8, 56-57 productivity gains, 60 profiles, 118-147 professional development, see learning mooting competition, 7, 47 and development property, 53 N purchasing, 50-51 neutral evaluation, 17, 18, 40, 205 number of, 174 R Norfolk Island, 10 Registrar, 11, 13 establishment of jurisdiction, 5, 7, 39 report by, 5-6 jurisdiction of Administrative Appeals Tribunal, 11, 160-161 registries, 6, 13-14 contact details, inside front cover employment by, 148 O energy consumption, 201 occupational health and safety, see work research, health and safety user satisfaction study, 3, 5, 7, 42-43 outcome and program, 26 risk management, 51-53 resourcing tables, 178-179 Outreach program, 18-19, 34 S security, 53 P self-represented parties, 18, 19 Parliamentary committees, 30 Senior Executive Service officers, 54 performance Executive Directors, 6, 13 highlights and achievements, 7-8 remuneration, 55 measures, 26 results, 27-30 Senior Members, 11, 12 additional functions, 34-35 practice and procedure, 17-18 Service Charter, 19, 32-34 Guide to the Social Security Jurisdiction, service standards, 33-34 Guide to the Workers' Compensation Small Taxation Claims Tribunal Jurisdiction, 17 appeals against decisions of, 175, 176 practice directions, 17 applications, 25-26, 165 see also guidelines fees, 180-182 outcomes of applications finalised, 172-173

timeliness, 29-30

social security
appeals against decisions of Tribunal,
175, 176
applications, 23–24, 163
outcomes of applications finalised,
172–173
timeliness, 28–29
speeches and presentations, 195–199
staff, 11, 13–14, 148–149
administrative structure, 15
ethical standards, 53
learning and development, 56–58
performance management, 56
salary and remuneration, 54–55, 148–149
study assistance, 55

#### Т

taxation, see Small Taxation Claims Tribunal and Taxation Appeals Division

Taxation Appeals Division

appeals against decisions of Tribunal, 175, 176

applications, 25–26, 165

outcomes of applications finalised, 172–173

timeliness, 28–29

technology services, 63

time standards, 27–29

tribunals, liaison with other Commonwealth tribunals, 3–4, 44

resource-sharing arrangements, 45

#### U

users of Tribunal, 38–43 satisfaction study, 3, 5, 7, 42–43 see also communication



veterans' affairs
appeals against decisions of Tribunal,
175, 176
applications, 24, 164
outcomes of applications finalised,
172–173
timeliness, 28–29

#### W

warrants, 34, 41
work experience placements, 47
work health and safety, 58–59
workers' compensation
appeals against decisions of Tribunal, 175, 176
applications, 25, 164
outcomes of applications finalised, 172–173
timeliness, 28–29
workplace diversity, 59–60
Workplace Diversity Plan, 59

