APPENDIX 4: APPLICATIONS, OUTCOMES, LISTINGS AND APPEALS STATISTICS

TABLE OR CHART	TITLE
A4.1	Applications lodged and applications finalised in 2011–12
A4.2	Applications lodged, by state and territory
A4.3	Applications finalised, by state and territory
A4.4	Percentage of applications finalised without a hearing
A4.5	Outcomes of applications for review of a decision finalised in 2011–12
A4.6	Applications current at 30 June 2012, by state and territory
A4.7	Alternative dispute resolution processes, interlocutory hearings and hearings conducted by the Tribunal
A4.8	Constitution of tribunals for hearings
A4.9	Appeals from decisions of the Tribunal by jurisdiction
A4.10	Appeals from decisions of the Tribunal — outcomes of appeals determined by jurisdiction, and total

This statistical information on different aspects of the Tribunal's workload for 2011–12 includes some data for earlier years for comparative purposes.

Table A4.1 sets out information on applications lodged with, and applications finalised by, the Tribunal in 2011–12, divided into five groups, and then into areas. The five groupings are:

- applications for review of decisions in the Tribunal's major jurisdictions social security, veterans' affairs, workers' compensation and taxation
- other applications for review of decisions by Australian Government portfolio
- applications for review of decisions under the Archives Act 1983, Australian Security Intelligence Organisation Act 1979, Freedom of Information Act 1982, decisions of the Tribunal and decisions in relation to which the Tribunal does not have jurisdiction
- other types of applications made under the Administrative Appeals Tribunal Act, and
- applications for review of decisions of the Norfolk Island Government.

TABLE A4.1 APPLICATIONS LODGED AND APPLICATIONS FINALISED, 2011-12

	APPLICATION LODGEI		APPLICATI FINALISE	
	NO	%	NO	%
APPLICATIONS FOR REVIEW OF DECISIONS — MAJO	R JURISDICTI	ONS		
SOCIAL SECURITY				
Age pension/Pension bonus scheme	148		148	
Austudy payment	6		11	
Baby bonus	15		22	
Carer allowance and carer payment	81		80	
Compensation preclusion period	45		44	
Disability support pension	582		505	
Disaster recovery payment	10		16	
Family tax benefit	43		43	
Newstart allowance	86		87	
Overpayments and debt recovery	255		316	
Parenting payment	29		30	
Participation failure	32		27	
Rent assistance	10		11	
Special benefit	10		6	
Youth allowance	32		39	
Other	48		45	
Subtotal	1,432	25	1,430	28

	APPLICATI LODGE		APPLICAT FINALIS	
	NO	%	NO	%
VETERANS' AFFAIRS				
Military Rehabilitation and Compensation Act 2004	36		36	
Veterans' Entitlements Act 1986				
Disability pension	237		300	
Service pension/Income support supplement/Pension bonus	37		21	
Widows' pension	71		92	
Other	15		14	
Subtotal	396	7	463	9
WORKERS' COMPENSATION				
Safety, Rehabilitation and Compensation Act 1988, by deci	sion maker			
Asciano Services	16		13	
Australian Postal Corporation	179		181	
Chubb Security Services Limited	12		6	
Comcare	417		377	
Commonwealth Bank of Australia	34		22	
John Holland Group Pty Ltd/John Holland Pty Ltd/ John Holland Rail Pty Ltd	24		15	
K & S Freighters Pty Limited	18		10	
Linfox Armaguard Pty Limited/Linfox Australia Pty Limited	55		56	
Military Rehabilitation and Compensation Commission	136		121	
National Australia Bank Limited	23		20	
Optus Administration Pty Limited	13		13	
Telstra Corporation Limited	146		139	
TNT Australia Pty Ltd	24		25	
Transpacific Industries Pty Ltd	35		16	
Other decision makers	25		20	
Seafarers Rehabilitation and Compensation Act 1992	50		69	
Subtotal	1,207	21	1,103	22

	APPLICATI LODGE		APPLICAT FINALIS	
	NO	%	NO	%
TAXATION				
Taxation Appeals Division				
Excess contributions tax ^a	20		19	
Fringe benefits tax	9		12	
Fuel schemes	0		22	
Goods and services tax	162		91	
Income tax (other than tax schemes)	1,112		772	
Income tax (tax schemes)	0		28	
Private rulings	26		29	
Self-managed superannuation fund regulation	5		10	
Superannuation contributions surcharge a	49		27	
Superannuation guarantee charge	18		19	
Taxation administration	14		13	
Other	23		21	
Subtotal	1,438	25	1,063	21
Small Taxation Claims Tribunal				
Excess contributions tax ^a	8		6	
Goods and services tax	3		4	
Income tax	87		39	
Refusal of extension of time to lodge objection	94		23	
Release from taxation liabilities	24		18	
Superannuation contributions surcharge ^a	52		10	
Superannuation guarantee charge	6		1	
Subtotal	274	5	101	2
Subtotal	1,712	30	1,164	23
SUBTOTAL for major jurisdictions	4,747	84	4,160	82

	APPLICATI LODGE		APPLICAT	
	NO	%	NO	%
APPLICATIONS FOR REVIEW OF DECISIONS — BY PO	RTFOLIO			
ATTORNEY-GENERAL'S				
Background checking	4		3	
Bankruptcy	22		13	
Customs	31		29	
Human rights	0		1	
Marriage celebrants	2		3	
Prohibited imports and exports	1		0	
Waiver of fees in courts	4		4	
Subtotal	64	1	53	1
BROADBAND, COMMUNICATIONS AND THE DIGITAL	ECONOMY			
Communications and media	1		0	
Subtotal	1	< 1	0	0
CLIMATE CHANGE AND ENERGY EFFICIENCY				
Renewable energy	0		1	
Subtotal	0	0	1	< 1
DEFENCE				
Defence Force retirement and death benefits	12		14	
Employer support payments	2		2	
Other	6		4	
Subtotal	20	< 1	20	< 1
EDUCATION, EMPLOYMENT AND WORKPLACE RELA	TIONS			
Mutual recognition of occupations	8		6	
National vocational education and training regulation	40		21	
Rehabilitation services	5		4	
Subtotal	53	< 1	31	< 1
FAMILIES, HOUSING, COMMUNITY SERVICES AND IN	IDIGENOUS A	FFAIRS	3	
Indigenous corporations	2		0	
Paid parental leave	3		3	
Subtotal	5	< 1	3	< 1

	APPLICAT LODGE		APPLICATE	
	NO	%	NO	%
FINANCE AND DEREGULATION				
Electoral matters	0		2	
Lands acquisition	1		0	
Subtotal	1	< 1	2	< 1
FOREIGN AFFAIRS AND TRADE				
Export market development grants	5		6	
Passports	6		6	
Subtotal	11	< 1	12	< 1
HEALTH AND AGEING				
Aged care	17		14	
Pharmacists	25		24	
Therapeutic goods	12		8	
Other	6		5	
Subtotal	60	1	51	1
HUMAN SERVICES				
Child support – percentage of care review	27		26	
Child support – review of SSAT refusal to extend time	10		15	
Child support – other	7		4	
Subtotal	44	< 1	45	< 1
IMMIGRATION AND CITIZENSHIP				
Business visa cancellation	1		1	
Citizenship	131		146	
Migration agent registration	11		5	
Protection visa cancellation or refusal	1		3	
Visa cancellation or refusal on character grounds	98		87	
Subtotal	242	4	242	5

	APPLICAT LODGE		APPLICAT FINALIS	
	NO	%	NO	%
INFRASTRUCTURE AND TRANSPORT				
Airports	2		4	
Civil aviation	29		26	
Maritime safety	2		0	
Motor vehicle standards	10		14	
Subtotal	43	< 1	44	< 1
INDUSTRY, INNOVATION, SCIENCE, RESEARCH AND T	ERTIARY ED	UCATI	ON	
Higher education funding	39		26	
Industry research and development	1		1	
Patents, designs and trademarks	7		3	
Other	0		1	
Subtotal	47	< 1	31	< 1
REGIONAL AUSTRALIA, LOCAL GOVERNMENT, ARTS	AND SPORT			
Leases on national land	1		2	
Protection of movable cultural heritage	0		1	
Sports anti-doping matters	3		1	
Tax offsets for films	2		2	
Subtotal	6	< 1	6	< 1
SUSTAINABILITY, ENVIRONMENT, WATER, POPULATION	N AND COM	IMUNI	ΓIES	
Environment protection and biodiversity	2		1	
Great Barrier Reef Marine Park	0		1	
Subtotal	2	< 1	2	< 1
TREASURY				
Auditors and liquidators registration	1		0	
Consumer credit regulation	2		3	
Corporations and financial services regulation	31		30	
Tax agent registration	7		12	
Subtotal	41	< 1	45	< 1
SUBTOTAL for portfolios	640	11	588	12

	APPLIC/ LOD		APPLIC FINAL	
	NO	%	NO	%
APPLICATIONS FOR REVIEW OF DECISIONS — OTHER				
SECURITY APPEALS				
ASIO assessments	4		3	
Decisions of National Archives of Australia relating to ASIO records	1		1	
Subtotal	5	< 1	4	< 1
WHOLE-OF-GOVERNMENT				
Archives Act 1983	4		1	
Freedom of Information Act 1982	20		41	
Subtotal	24	< 1	42	< 1
CASE MANAGEMENT AND TRIBUNAL DECISIONS				
No jurisdiction/Uncertain jurisdiction	160		174	
Review of decisions relating to fees	4		5	
Subtotal	164	3	179	4
SUBTOTAL for other	193	3	225	4
APPLICATIONS — OTHER ADMINISTRATIVE APPEALS T	RIBUNAL	ACT		
Application for extension of time to lodge an application for review of a decision	99		86	
Application under the AAT Act relating to a finalised case	3		3	
Subtotal	102	2	89	2
APPLICATIONS - NORFOLK ISLAND				
	0		0	
Subtotal	0	0	0	0
TOTAL b	5,682	100	5,062	100

^a The Tribunal recently commenced using a unique case type for applications for review of these types of decisions. Some applications lodged or finalised during 2011–12 may have been recorded under a different case type.

^b Percentages do not total 100% due to rounding.

CHART A4.2 APPLICATIONS LODGED, BY STATE AND TERRITORY

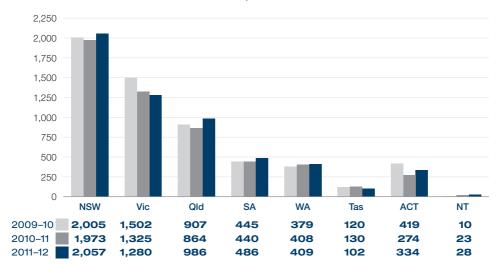


CHART A4.3 APPLICATIONS FINALISED, BY STATE AND TERRITORY

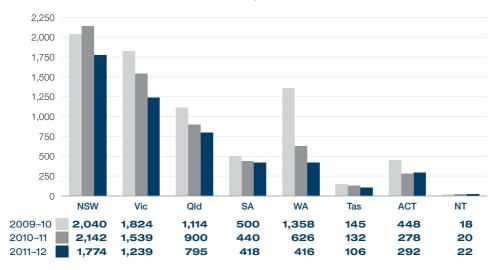


TABLE A4.4 PERCENTAGE OF APPLICATIONS FINALISED WITHOUT A HEARING^a

JURISDICTION	2009–10 %	2010–11 %	2011–12 %
All	82	79	79
Social security	77	76	77
Veterans' affairs	75	73	73
Workers' compensation	85	87	87
Taxation			
Taxation Appeals Division	92	85	79
Small Taxation Claims Tribunal	95	82	90

^a Applications finalised by the Tribunal without it completing the review and giving a decision on the merits under section 43 of the Administrative Appeals Tribunal Act. Includes applications finalised in accordance with terms of agreement lodged by the parties (sections 34D and 42C), applications withdrawn by the applicant (section 42A(1A)) and applications dismissed by the Tribunal (sections 42A and 42B).

TABLE A4.5 OUTCOMES OF APPLICATIONS FOR REVIEW OF A DECISION FINALISED IN 2011-12

	ALL	9	SOCIAL	;	VETERANS	Š.	WORKERS	HS'		TAXATION	NOIE	
	APPLICATIONS	S N O	SECURITY	<u>≻</u>	AFFAIRS	ഗ	COMPENSATION	N N N N N N N N N N N N N N N N N N N	TAXATION APPEALS DIVISION	Zqz	SMALL TAXATION CLAIMS TRIBUNAL	CATION 1S JAL
	ON	%	ON.	%	ON	%	ON	%	ON	%	ON	%
By consent or withdrawn												
Decision affirmed ^a	313	9	7	\ \	-	<u>\</u>	297	27	2	V	0	0
Decision varied ^a	402	∞	12	<u>~</u>	25	2	59	Ω	282	27	17	17
Decision set aside ^a	1,054	21	291	20	139	35	305	28	217	20	17	17
Dismissed by consent ^b	27	\ \	∞	<u>~</u>	0	0	თ	\ 	10	\ \	-	-
Dismissed by operation of law ^c	214	4	214	15	0	0	0	0	0	0	0	0
Withdrawn by applicant	1,363	27	441	31	159	34	258	23	242	23	52	52
Subtotal	3,373	89	973	89	324	20	922	83	756	71	87	86
By decision												
Decision affirmed ^d	969	41	243	17	73	16	92	∞	124	12	O	6
Decision varied ^d	64	-	12	<u>~</u>	9	-	2	\ \	30	ო	0	0
Decision set asided	292	9	69	2	48	9	47	4	29	9	-	-
Subtotal	1,051	21	324	23	127	27	144	13	221	21	10	10

	ALL	9	SOCIAL	<u>ا</u> لِـ	VETERANS		WORKERS'	RS'		TAXATION	NOIE	
	APPLICATIONS			<u>-</u>	AFTAIRO				TAXATION APPEALS DIVISION	N S N	SMALL TAXATION CLAIMS TRIBUNAL	KATION AS VAL
	ON	%	ON N	%	ON	%	ON	%	ON	%	ON	%
Other												
Dismissed by Tribunal ^e	162	က	87	9	9	-	4	-	41	4	-	-
No jurisdiction ^f	200	4	2	\ 	0	0	9	\ 	17	2	0	0
Extension of time refused	65	-	32	7	-	<u>~</u>	10	\ 	-	V	-	-
No application fee paid	82	7	0	0	N	V	0	0	20	Ω	-	-
Other®	40	V .	12	\ 	თ	V	7	\ 	7	∨	-	-
Subtotal	549	11	133	6	12	က	37	က	98	00	4	4
Total ^h	4,973	100	1,430	100	463	100	1,103	100	1,063	100	101	100

Applications finalised by the Tribunal in accordance with terms of agreement reached by the parties either in the course of an alternative dispute resolution process (section 34D of the Administrative Appeals Tribunal Act) or at any stage of review proceedings (section 42C)

^b Applications dismissed by consent under section 42A(1) of the Administrative Appeals Tribunal Act.

On receipt of the agreement, the application is taken to have been dismissed: section 146 of the A New Tax System (Family Assistance) (Administration) Act 1999, c If an application in the family assistance and social security area relates to the recovery of a debt, the parties may agree in writing to settle the proceedings. section 182 of the Social Security (Administration) Act 1999, and section 326A of the Student Assistance Act 1973.

^d Applications finalised by a decision of the Tribunal under section 43 of the Administrative Appeals Tribunal Act.

e Applications dismissed under section 42A(2) of the Administrative Appeals Tribunal Act (non-appearance at a case event), section 42A(5) (failure to proceed with an application or to comply with a direction of the Tribunal) and section 42B(1) (application is frivolous or vexatious).

Applications in relation to which the Tribunal has determined it does not have jurisdiction or dismissed under section 42A(4) of the Administrative Appeals Iribunal Act on the basis that the applicant has failed to demonstrate that a decision is reviewable.

g Includes applications for review of a decision that have been lodged out of time and in relation to which no extension of time application is subsequently received.

^h Percentages do not total 100% due to rounding.

CHART A4.6 APPLICATIONS CURRENT AT 30 JUNE 2012, BY STATE AND TERRITORY

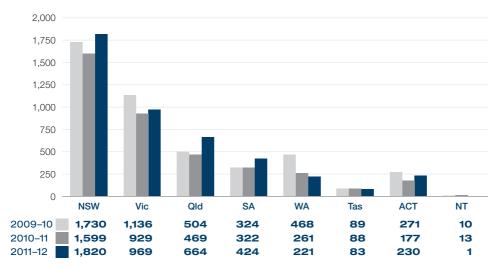


TABLE A4.7 ALTERNATIVE DISPUTE RESOLUTION PROCESSES, INTERLOCUTORY HEARINGS AND HEARINGS CONDUCTED BY THE TRIBUNAL

EVENT TYPE	2009–10	2010-11	2011–12
Conferences	8,265	6,897	6,761
Case appraisals	8	4	4
Conciliations	507	527	469
Mediations	46	38	49
Neutral evaluations	49	57	39
Interlocutory hearings ^a	497	380	373
Hearings	1,277	1,166	931

^a Includes hearings relating to the jurisdiction of the Tribunal and hearings relating to applications for orders of the following kind:

- to extend the time to lodge an application for review
- to be joined as a party to a proceeding
- to make a confidentiality order under section 35 of the Administrative Appeals Tribunal Act
- to stay the operation or implementation of a reviewable decision
- to dismiss an application
- to reinstate an application.

TABLE A4.8 CONSTITUTION OF TRIBUNALS FOR HEARINGS

TRIBUNAL TYPE	2009–10		2010–11		2011–12	
	NO	%	NO	%	NO	%
Judge alone	3	<1	2	< 1	3	< 1
Judge with 1 other member	4	<1	5	< 1	5	< 1
Judge with 2 other members	1	<1	2	< 1	3	< 1
Deputy President alone	175	14	169	14	135	15
Deputy President with 1 other member	62	5	49	4	45	5
Deputy President with 2 other members	9	<1	2	< 1	1	< 1
Senior Member alone	649	51	602	52	467	50
Senior Member with 1 other member	167	13	121	10	81	9
Senior Member with 2 other members	5	<1	4	< 1	1	< 1
Member alone	178	14	189	16	180	19
Two Members	22	2	21	2	10	1
Three Members	2	<1	0	0	0	0
Total ^a	1,277	100	1,166	100	931	100
Total multi-member tribunals	272	21	204	17	146	16

^a Percentages do not total 100% due to rounding.

TABLE A4.9 APPEALS AGAINST DECISIONS OF THE TRIBUNAL, BY JURISDICTION

JURISDICTION	2009-10		2010–11		2011–12	
	SECTION 44ª	OTHER ^b	SECTION 44 ª	OTHER	SECTION 44 a	OTHER ^b
Social security	16	0	16	0	11	1
Veterans' affairs	11	1	14	1	10	0
Workers' compensation	18	1	18	0	18	1
Taxation						
Taxation Appeals Division	17	0	14	1	17	1
Small Taxation Claims Tribunal	1	0	0	0	0	0
Immigration and citizenship	4	13	11	21	7	14
Other	16	4	25	0	12	2
Total	83	19	98	23	75	19

^a Appeals lodged in the Federal Court under section 44 of the Administrative Appeals Tribunal Act. In some circumstances, a party may lodge an application seeking relief under section 44 of the Administrative Appeals Tribunal Act and under another enactment. These applications are treated as section 44 appeals for statistical purposes.

^b Applications for judicial review made under other enactments, including the *Administrative Decisions (Judicial Review) Act 1977*, the *Judiciary Act 1903*, Part 8 of the *Migration Act 1958* and section 75(v) of the Constitution.

TABLE A4.10 OUTCOMES OF APPEALS FROM TRIBUNAL DECISIONS — BY JURISDICTION $^{\mathrm{a}}$

OUTCOME	2009–10		2010	D -11	2011–12	
	SECTION 44	OTHER	SECTION 44	OTHER	SECTION 44	OTHER
Social security						
Allowed/Remitted	4	0	3	0	1	0
Dismissed	19	0	9	0	10	2
Discontinued	2	1	7	0	2	0
Subtotal	25	1	19	0	13	2
Veterans' affairs						
Allowed/Remitted	7	1	5	0	6	0
Dismissed	7	0	4	0	9	1
Discontinued	1	0	3	1	0	0
Subtotal	15	1	12	1	15	1
Workers' compensation						
Allowed/Remitted	3	0	4	0	6	0
Dismissed	8	0	7	0	16	0
Discontinued	6	1	3	0	4	0
Subtotal	17	1	14	0	26	0
Taxation						
Taxation Appeals Division						
Allowed/Remitted	7	0	3	0	7	1
Dismissed	9	0	9	1	5	1
Discontinued	4	0	1	1	5	0
Subtotal	20	0	13	2	17	2
Small Taxation Claims Tribun	al					
Allowed/Remitted	0	0	1	0	0	0
Dismissed	0	0	0	0	0	0
Discontinued	1	0	0	0	0	0
Subtotal	1	0	1	0	0	0
Subtotal	21	0	14	2	17	2

OUTCOME	2009–10		2010	D-11	2011–12	
	SECTION 44	OTHER	SECTION 44	OTHER	SECTION 44	OTHER
Immigration and citizenship						
Allowed/Remitted	3	3	2	1	1	3
Dismissed	5	8	6	15	6	9
Discontinued	2	0	1	1	1	2
Subtotal	10	11	9	17	8	14
Other						
Allowed/Remitted	6	1	11	0	4	0
Dismissed	11	1	11	1	8	3
Discontinued	2	2	3	0	4	0
Subtotal	19	4	25	1	16	3
Total	107	18	93	21	95	22
ALL						
Allowed/Remitted	30	5	29	1	25	4
Dismissed	59	9	46	17	54	16
Discontinued	18	4	18	3	16	2
Total	107	18	93	21	95	22

^a Where a decision of a Federal Magistrate, a single judge of the Federal Court or the Full Court of the Federal Court has been appealed, only the ultimate result is counted for the purpose of these statistics.