

# **AAT DIGITAL SECTION 37 DOCUMENTS**

### **AAT ONLINE SERVICES PORTAL**

The AAT <u>online services portal</u> allows parties to send us documents in any case that has already been lodged with the AAT. This includes the documents that the decision-maker must lodge under section 37 of the *Administrative Appeals Tribunal Act 1975* (section 37 documents).

In accordance with the <u>COVID-19 practice directions</u> that took effect on 29 April 2020, section 37 documents must be lodged using the online services portal where possible.

The online services portal can be used to lodge section 37 documents up to a maximum size of 100MB. For files larger than 100MB, please contact your local registry to lodge the documents via our registered Sigbox account.

More information is available in the AAT online services portal user guidelines.

### **AAT SPECIFICATION FOR DIGITAL SECTION 37 DOCUMENTS**

The following specification outlines the AAT's requirements for preparing digital section 37 documents.

#### File format

Digital section 37 documents lodged with the AAT must be in Portable Document Format (PDF). They should be saved in the <u>latest version</u> and the minimum acceptable format is PDF 1.5.

#### Searchable text

Text must be machine readable and searchable. For documents created in Microsoft Word, searchable text will be preserved when exported to PDF. Where documents are scanned from hardcopy, optical character recognition will need to be used to convert to searchable text.

#### Other content

It is acknowledged that some section 37 documents will contain records, such as handwritten notes, photos, diagrams and other images, that are not able to be converted to searchable text. Images should be at a sufficient resolution for screen viewing and printing, generally 300dpi, with appropriate compression to reduce overall file size.

Although PDF files may contain interactive elements such as annotations, form fields, video, 3D and rich media, the AAT does not permit interactive elements.

### File size and compression

To the extent possible, digital section 37 documents should be contained in a single PDF file with the highest amount of compression and not have a file size larger than 100MB. If necessary, multiple volumes can be created as separate PDF files.

Supplementary section 37 documents should be created in the same manner.

### Structure and navigation

The <u>Lodgement of Documents under Sections 37 and 38AA of the AAT Act Practice Direction</u> continues to apply, in particular section 4:

- Each document must be identified with a number commencing with the application for review as 'T1'. Subsequent documents will bear 'T' numbers in sequence. Eachpage must be numbered sequentially.
- The section 37 documents must be accompanied by an index, which sets out the date and a brief description of each document. The pagination must be set out in the index.

To ensure the section 37 documents can be navigated quickly, each T-document must be:

- · Hyperlinked from the index, or
- Bookmarked (preferred).

Multiple volumes and supplementary documents should be created as separate PDF files each with its own index or bookmarks.

## **Document security**

Password restrictions should not be applied as this can impact useability. The file does not require a digital signature.

# **Accessibility**

Accessibility can be assisted by the use of a screen reader application. Though PDF is a standard, the content and structure of PDF documents vary widely. Accessibility is affected by four types of PDF documents: image only files, untagged documents, tagged documents and electronic forms.

The aim of this specification is to maximise the effective use of digital section 37 documents. It is acknowledged that due to a wide variety of page layouts, document structures and a low degree of tagging for documents that screen readers may have difficulty extracting words, sentences and paragraphs in a coherent order.

The method of service of Section 37 documents on applicants and any other parties is a matter for the relevant decision-maker. The PDF version should be provided having regard to the accessibility needs of the recipient and alternative formats offered as required.

### OTHER DOCUMENT BUNDLES NOT REQUIRED UNDER SECTION 37

In some circumstances, the respondent lodges other compiled bundles of digital documents that section 37 does not apply to. It is the AAT's expectation that these documents are provided, to the extent possible, in format consistent with this specification. For example, section 37 does not apply to the review of decisions under either section 501 or 501CA of the *Migration Act 1958* for a person inside the migration zone. In these matters, it is common practice for the AAT to request a digital copy of the bundle of documents previously provided to the applicant pursuant to section 501G of the *Migration Act 1958*, along with summonsed material compiled by the respondent in these matters. The AAT will be assisted by the respondent providing such documents as a searchable PDF with appropriate indexing, compression and navigation features as set out above.

## **PDF** resources

- Acrobat user guide
- Kofax Power PDF
- Accessing PDF Documents with Assistive Technology